

DOCKET NO.: HITACHI-0008

PATENT

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: MAZAWA, et al.

Serial No.: 09/602,965 <sup>ψ</sup>

Filed: June 23, 2000

For: **TERMINAL USAGE  
LIMITING APPARATUS**

Group Art Unit: 2600

Examiner: Not Assigned

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MAR 22 2005

Technology Center 2600

## Certificate of Mailing

I hereby certify that this paper is being sent via First Class  
Mail to the Assistant Commissioner for Patents,  
Washington, D.C. 20231, on the date shown below.

On 3 January 2002  
Kenji Yoshida, Registration No. 37,009COMMUNICATION FORWARDING DECLARATION AND POWER OF ATTORNEY

Assistant Commissioner for Patents  
P.O. Box 2327  
Arlington, VA 22202

Sir:

Upon review of the above-captioned matter the undersigned noted that a Notice To File Missing Parts of Nonprovisional Application Filed Under 37 CFR 1.53(b) had not been issued by the U.S. Patent Office. The undersigned then directed his paralegal, Lynne Webb, to inquire as to the status of the Notice. Ms. Webb telephoned the Office of Initial Preliminary Examination (OIPE) on November 27, 2001 in order to ascertain the status of the Notice. Ms. Webb was then directed to call Examiner Mai Khank of Group Art Unit 2600 for further information regarding this application.

To date, the undersigned has not received a Notice to File Missing Parts of Nonprovisional Application nor has Ms. Webb received any telephone calls regarding this application. Furthermore, no Office Action has been issued for the above-referenced application. Therefore, in order to complete the filing requirements of this application, the undersigned respectfully submits the enclosed executed Declaration and Power of Attorney. A check in the

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**PATENT**

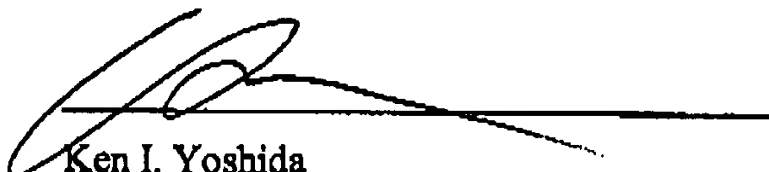
amount of \$130.00 is enclosed herewith for payment of the surcharge for the late filing of the Declaration and Power of Attorney. Please charge any additional fees, which may be due in connection with this submission to Deposit Account No. 50-0462. No extension fees are believed to be due as the Office had never issued a Notice to File Missing Parts of Nonprovisional Application.

It is believed that the submission of the enclosed Declaration and Power of Attorney completes the formal filing requirements. Therefore, the undersigned respectfully requests the Office to forward this application on to Examiner Khank for examination on its merits.

Any questions regarding this matter should be directed to the undersigned or his paralegal.

Respectfully submitted,

Date: January 3, 2002

  
Ken I. Yoshida  
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PTO/SB/108(8-96)

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## Declaration and Power of Attorney For Patent Application

## 特許出願宣言書及び委任状

## Japanese Language Declaration

## 日本語宣言書

下記の氏名の発明者として、私は以下の通り宣言します。

As a below named inventor, I hereby declare that:

私の住所、私書箱、国籍は下記の私の氏名の後に記載された通りです。

My residence, post office address and citizenship are as stated next to my name.

下記の名称の発明に関して請求範囲に記載され、特許出願している発明内容について、私が最初かつ唯一の発明者（下記の氏名が一つの場合）もしくは最初かつ共同発明者であると（下記の名称が複数の場合）信じています。

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

Terminal usage limiting apparatus

上記発明の明細書（下記の欄で×印がついていない場合は、本書に添付）は、

The specification of which is attached hereto unless the following box is checked:

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(該当する場合) \_\_\_\_\_に訂正されました。☒ was filed on 25/June/2000  
as United States Application Number or  
PCT International Application Number  
\_\_\_\_\_ and was amended on  
\_\_\_\_\_ (if applicable).

私は、特許請求範囲を含む上記訂正後の明細書を検討し、内容を理解していることをここに表明します。

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

私は、連邦規則法典第37編第1条56項に定義されるとおり、特許資格の有無について重要な情報を開示する義務があることを認めます。

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56.

Page 1 of 5

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PTO/SB/106(8-88)

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### Japanese Language Declaration (日本語宣言書)

私は、米国法典第35編119条(a)-(d)項又は365条(b)項に基づき下記の、米国以外の国の少なくとも一カ国を指定している特許協力条約365(a)項に基づき国際出願、又は外国での特許出願もしくは発明者証の出願についての外国優先権をここに主張するとともに、優先権を主張している、本出願の前に出願された特許または発明者証の外国出願を以下に、枠内をマークすることで、示している。

#### Prior Foreign Application(s)

外国での先行出願

P11-179364	Japan
(Number)	(Country)
(番号)	(国名)
P11-185426	Japan
(Number)	(Country)
(番号)	(国名)

私は、第35編米国法典119条(e)項に基づいて下記の米国特許出願規定に記載された権利をここに主張いたします。

(Application No.)	(Filing Date)
(出願番号)	(出願日)

私は、下記の米国法典第35編120条に基づいて下記の米国特許出願に記載された権利、又は米国を指定している特許協力条約365条(c)に基づき権利をここに主張します。また、本出願の各請求範囲の内容が米国法典第35編112条第1項又は特許協力条約で規定された方法で先行する米国特許出願に開示されていない限り、その先行米国出願書提出日以降で本出願書の日本国内または特許協力条約国際提出日までの期間中に入手された、連邦規則法典第37編1条56項で定義された特許資格の有無に関する重要な情報について開示義務があることを認識しています。

(Application No.)	(Filing Date)
(出願番号)	(出願日)

(Application No.)	(Filing Date)
(出願番号)	(出願日)

私は、私自身の知識に基づいて本宣言書中で私が行なう表明が真実であり、かつ私の入手した情報と私の信じていることに基づき表明が全て真実であると信じていること、さらに故意になされた虚偽の表明及びそれと同等の行為は米国法典第18編第1001条に基づき、罰金または拘禁、もしくはその両方により処罰されること、そしてそのような故意による虚偽の声明を行えば、出願した、又は既に許可された特許の有効性が失われることを認識し、よってここに上記のごとく宣誓を致します。

I hereby claim foreign priority under Title 35, United States Code, Section 119 (a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT International application which designated at least one country other than the United States, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or PCT International application having a filing date before that of the application on which priority is claimed.

Priority Not Claimed  
優先権主張なし

25/June/1999	<input type="checkbox"/>
(Day/Month/Year Filed)	
(出願年月日)	
09/July/1999	<input type="checkbox"/>
(Day/Month/Year Filed)	
(出願年月日)	

I hereby claim the benefit under Title 35, United States Code, Section 119(e) of any United States provisional application(s) listed below.

(Application No.)	(Filing Date)
(出願番号)	(出願日)

I hereby claim the benefit under Title 35, United States Code, Section 120 of any United States application(s), or 365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of Title 35, United States Code Section 112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56 which became available between the filing date of the prior application and the national or PCT International filing date of application.

(Status: Patented, Pending, Abandoned)
(現況: 特許許可済、係属中、放棄済)

(Status: Patented, Pending, Abandoned)
(現況: 特許許可済、係属中、放棄済)

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

PTO/SB/108(B-96) (Modulated spacing)

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Japanese Language Declaration  
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委任状： 私は下記の発明者として、本出願に関する一切の手続きを米特許商標局に対して遂行する弁理士または代理人として、下記の者を指名いたします。(弁理士、または代理人の氏名及び登録番号を明記のこと)

POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith (list name and registration number)

Ken. I. Yoshida, Reg. No.37,009; and John L. Knoble, Reg. No.32,387.

書類送付先

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Knoble &amp; Yoshida, LLP

Eight Penn Center, Suite 1350 1628 John F. Kennedy Boulevard  
Philadelphia, Pennsylvania 19103

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Direct Telephone Calls to: (name and telephone number)

Telephone: (215) 599-0600

Fax: (215) 599-0601

唯一または第一発明者

Full name of sole or first inventor

Shiro MAZAWA

発明者の署名

日付

Inventor's signature

Date

Shiro Mazawa

March 28, 2000

住所

Residence

Yokohama, Japan

国籍

Citizenship

Japan

私書箱

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Chiyoda-ku, Tokyo 100-6220, Japan

(第二以降の共同発明者についても同様に記載し、署名をすること)

(Supply similar information and signature for second and subsequent joint inventors.)

PTO/SB/106(8-86)

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第二共同発明者	Full name of second joint inventor, if any Atsushi TESHIMA	
第二共同発明者の署名	日付	Second inventor's signature Date <i>Atsushi Teshima</i> <i>Aug. 29, 2000</i>
住所	Residence Yokohama, Japan	
国籍	Citizenship Japan	
私書箱	Post Office Address c/o Hitachi Process Computer Engineering, Inc. 2-1, Omika-cho 5-chome, Hitachi-shi, Ibaraki-ken, 319-1293 Japan	
第三共同発明者	Full name of third joint inventor, if any Kazuhiro SASAME	
第三共同発明者の署名	日付	Third inventor's signature Date <i>Kazuhiro Sasame</i> <i>August 28, 2000</i>
住所	Residence Yokohama, Japan	
国籍	Citizenship Japan	
私書箱	Post Office Address c/o Hitachi Process Computer Engineering, Inc. 2-1, Omika-cho 5-chome, Hitachi-shi, Ibaraki-ken, 319-1293 Japan	
第四共同発明者	Full name of fourth joint inventor, if any Yoshitaka IIDA	
第四共同発明者の署名	日付	Fourth inventor's signature Date <i>Yoshitaka Iida</i> <i>August 28, 2000</i>
住所	Residence Yokohama, Japan	
国籍	Citizenship Japan	
私書箱	Post Office Address c/o Hitachi, Ltd., Intellectual Property Group New Marunouchi Bldg. 8-1, Marunouchi 1-chome, Chiyoda-ku, Tokyo 100-8220, Japan	
第五共同発明者	Full name of fifth joint inventor, if any	
第五共同発明者の署名	日付	Fifth inventor's signature Date
住所	Residence	
国籍	Citizenship	
私書箱	Post Office Address	

(第六以降の共同発明者についても同様に記載し、署名をすること)

(Supply similar information and signature for sixth and subsequent joint inventors.)

LAW OFFICES  
**KNOBLE YOSHIDA & DUNLEAVY LLC**  
INTELLECTUAL PROPERTY ATTORNEYS

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In re application of  
Mazawa et al.  
Atty Dckt No.: HITACHI-0008  
U.S. Patent Application No.  
09/602,965  
Filing Date: June 23, 2000  
Express Mail Mailing No.

Date Mailed: 3 January 2002

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